

MRD
4/13/05

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | |
|--|------------------------|--------------------------|------------|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 10660281 | |
| | Filing Date | | 2003-09-10 | |
| | First Named Inventor | Lance R. Peterson et al. | | |
| | Art Unit | 3713 | | |
| | Examiner Name | Unknown | | |
| | Attorney Docket Number | 112300-1529 | | |

| U.S. PATENTS | | | | | | |
|-------------------|---------|---------------|------------------------|------------|---|--|
| Examiner Initial* | Cite No | Patent Number | Kind Code ¹ | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
| SS | 1 | 6251013 | B1 | 2001-06-26 | Bennett | |

If you wish to add additional U.S. Patent citation information please click the Add button.

| U.S. PATENT APPLICATION PUBLICATIONS | | | | | | |
|--------------------------------------|---------|--------------------|------------------------|------------------|---|--|
| Examiner Initial* | Cite No | Publication Number | Kind Code ¹ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
| SS | 1 | | | | | |

If you wish to add additional U.S. Published Application citation information please click the Add button.

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
| Examiner Initial* | Cite No | Foreign Document Number ³ | Country Code ² | Kind Code ⁴ | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T ⁵ |
| SS | 1 | WO 0158546 | WO | A1 | 2001-08-16 | Aristocrat Technologies Australia Pty Ltd | | <input type="checkbox"/> |
| SS | 2 | WO 0119476 | WO | A1 | 2001-03-22 | International Game Technology | | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button

| NON-PATENT LITERATURE DOCUMENTS | | | | | | | | |
|---------------------------------|--|--|--|--|--|--|--|--|
|---------------------------------|--|--|--|--|--|--|--|--|

| | | | |
|---|------------------------|--------------------------|------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Application Number | | 10680281 |
| | Filing Date | | 2003-09-10 |
| | First Named Inventor | Lance R. Peterson et al. | |
| | Art Unit | 3713 | |
| | Examiner Name | Unknown | |
| | Attorney Docket Number | 112300-1529 | |

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T ⁵ |
|-----------------------|------------|---|--------------------------|
| SS | 1 | | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

| EXAMINER SIGNATURE | |
|---|-----------------|
| Examiner Signature | Date Considered |
|  | 3/28/07 |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peterson et al.
Appl. No.: 10/660,281
Conf. No.: 5293
Filed: September 10, 2003
Title: GAMING DEVICE HAVING PLAYER-SELECTABLE AWARD DIGITS
AND AWARD MODIFICATION OPTIONS
Art Unit: 3713
Examiner: Unknown
Docket No.: 112300-1529

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

SS

| <u>Document No.</u> | <u>Date</u> | <u>Inventor</u> |
|---------------------|-------------------|-----------------|
| 5,205,555 | April 27, 1993 | Hamano |
| 5,456,465 | October 10, 1995 | Durham |
| 5,816,918 | October 6, 1998 | Kelly et al. |
| 5,823,874 | October 20, 1998 | Adams |
| 5,823,879 | October 20, 1998 | Goldberg et al. |
| 5,848,932 | December 15, 1998 | Adams |
| 5,882,261 | March 16, 1999 | Adams |
| 5,980,384 | November 9, 1999 | Barrie |
| 6,146,273 | November 14, 2000 | Olsen |
| 6,203,429 | March 20, 2001 | Demar et al. |
| 6,224,482 | May 1, 2001 | Bennett |
| 6,231,442 | May 15, 2001 | Mayeroff |

SS

| | | |
|-------------|-------------------|-----------------|
| 6,231,445 | May 15, 2001 | Acres |
| 6,234,897 | May 22, 2001 | Frohm et al. |
| 6,261,177 | July 17, 2001 | Bennett |
| 6,270,409 | August 7, 2001 | Shuster |
| 6,283,855 | September 4, 2001 | Bingham |
| 6,299,165 | October 9, 2001 | Nagano |
| 6,309,300 | October 30, 2001 | Glavich |
| 6,322,309 | November 27, 2001 | Thomas et al. |
| 6,334,814 | January 1, 2002 | Adams |
| 6,346,043 | February 1, 2002 | Colin et al. |
| 6,347,996 | February 19, 2002 | Gilmore et al. |
| 6,358,147 | March 19, 2002 | Jaffe et al. |
| 6,364,767 | April 2, 2002 | Brossard et al. |
| 6,443,837 | September 3, 2002 | Jaffe et al. |
| 2002/094861 | July 18, 2002 | Seelig et al. |

FOREIGN PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Country</u> |
|---------------------|-------------------|----------------|
| AU 1 861 897 | January 2, 2003 | Australia |
| GB 2,366,435 | June 3, 2002 | Great Britain |
| WO 97/32285 | September 4, 1997 | PCT |
| WO 00/17831 | March 30, 2000 | PCT |

OTHER DOCUMENTS

A Salute to Game Shows-The Price is Right-Pricing Games. Retrieved from the Internet:<<http://ben-schumin.simplenet.com/game-shows/shows/price-is-right/pricing-games.htm>>, 16 August 2000.

Description of Let's Make a Deal Television Show written by www.ballygaming.com, printed March 16, 2001.

Jazzy Jackpots Advertisement written by Atronic, published prior to 2002.

Take Your Pick-Anchor Games, written by Strictly Slots, p.50, published March 2001.

Texas Tea Advertisement written by IGT, published in 2000.

Top Dollar, Technical Brochure, pp. 1-2, written by IGT, published in 1998.

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

| | |
|-----------------------------------|-------------------------------|
| Atty Docket No. 112300-1529 | Application No. 10/660,281 |
| Applicant Peterson et al. | |
| Filing Date September 10, 2003 | Group 3713 |

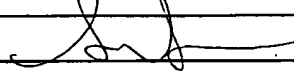
U.S. PATENT DOCUMENTS

| Examiner's Initials | Document Number | Publication Date | Inventor | Class | Subclass | Filing Date If Appropriate |
|------------------------|--------------------|---------------------|-----------------|-------|----------|----------------------------------|
| SS | 5,205,555 | April 27, 1993 | Hamano | | | |
| SS | 5,456,465 | October 10, 1995 | Durham | | | |
| SS | 5,816,918 | October 6, 1998 | Kelly et al. | | | |
| SS | 5,823,874 | October 20, 1998 | Adams | | | |
| SS | 5,823,879 | October 20, 1998 | Goldberg et al. | | | |
| SS | 5,848,932 | December 15, 1998 | Adams | | | |
| SS | 5,882,261 | March 16, 1999 | Adams | | | |
| SS | 5,980,384 | November 9, 1999 | Barrie | | | |
| SS | 6,146,273 | November 14, 2000 | Olsen | | | |
| SS | 6,203,429 | March 20, 2001 | Demar et al. | | | |
| SS | 6,224,482 | May 1, 2001 | Bennett | | | |
| SS | 6,231,442 | May 15, 2001 | Mayeroff | | | |
| SS | 6,231,445 | May 15, 2001 | Acres | | | |
| SS | 6,234,897 | May 22, 2001 | Frohm et al. | | | |
| SS | 6,261,177 | July 17, 2001 | Bennett | | | |
| SS | 6,270,409 | August 7, 2001 | Shuster | | | |
| SS | 6,283,855 | September 4, 2001 | Bingham | | | |
| SS | 6,299,165 | October 9, 2001 | Nagano | | | |
| SS | 6,309,300 | October 30, 2001 | Glavich | | | |
| SS | 6,322,309 | November 27, 2001 | Thomas et al. | | | |
| SS | 6,334,814 | January 1, 2002 | Adams | | | |
| SS | 6,346,043 | February 1, 2002 | Colin et al. | | | |
| SS | 6,347,996 | February 19, 2002 | Gilmore et al. | | | |
| SS | 6,358,147 | March 19, 2002 | Jaffe et al. | | | |
| SS | 6,364,767 | April 2, 2002 | Brossard et al. | | | |
| SS | 6,443,837 | September 3, 2002 | Jaffe et al. | | | |
| SS | 2002/094861 | July 18, 2002 | Seelig et al. | | | |

FOREIGN PATENT DOCUMENTS

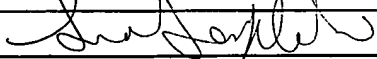
| Examiner's Initials | Document Number | Publication Date | Country | Class | Subclass | Translation Yes | No |
|------------------------|--------------------|---------------------|---------------|-------|----------|--------------------|----|
| SS | AU 1 861 897 | January 2, 2003 | Australia | | | | |
| SS | GB 2,366,435 | June 3, 2002 | Great Britain | | | | |
| SS | WO 97/32285 | September 4, 1997 | PCT | | | | |
| SS | WO 00/17831 | March 30, 2000 | PCT | | | | |

| Examiner's Initials | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |
|------------------------|---|
| SS | A Salute to Game Shows-The Price is Right-Pricing Games. Retrieved from the Internet:< http://benschumin.simplenet.com/game-shows/shows/price-is-right/pricing-games.htm >, 16 August 2000. |
| SS | Description of Let's Make a Deal Television Show written by www.ballygaming.com , printed March 16, 2001. |

| | |
|--|--------------------------|
| Examiner:  | Date Considered: 3/28/07 |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

| | | |
|--|-----------------------------------|-------------------------------|
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449 | Atty Docket No. 112300-1529 | Application No. 10/660,281 |
| | Applicant Peterson et al. | |
| | Filing Date September 10, 2003 | Group 3713 |

| Examiner's Initials | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |
|------------------------|--|
| SS | Jazzy Jackpots Advertisement written by Atronic, published prior to 2002. |
| SS | Take Your Pick-Anchor Games, written by Strictly Slots, p.50, published March 2001.. |
| SS | Texas Tea Advertisement written by IGT, published in 2000. |
| SS | Top Dollar, Technical Brochure, pp. 1-2, written by IGT, published in 1998. |
| SS | Top Dollar Advertisement written by IGT, published in 1998. |
| SS | Who Dunnit Advertisement written by WMS Gaming, Inc., published June 26, 2001. |
| SS | Winning Bid Advertisement written by WMS Gaming, Inc., published prior to 2002. |

| | |
|--|--------------------------|
| Examiner:  | Date Considered: 3/28/07 |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |



INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No.
112300-1529

Application No.
10/660,281

Applicant
Baerlocher et al.

Filing Date
September 9, 2003

Group:
3713

U.S. PATENT DOCUMENTS

| Examiner's Initials | Patent Number | Issue Date | Name | Class | Subclass | Filing Date If |
|------------------------|------------------|---------------|---------------------|-------|----------|-------------------|
| SS | 2,743,108 | 04/24/56 | Sander | | | |
| SS | 3,618,952 | 11/09/71 | Talarido | | | |
| SS | 4,624,459 | 11/25/86 | Kaufman | | | |
| SS | 4,695,053 | 09/22/87 | Vazquez, Jr. et al. | | | |
| SS | 4,811,953 | 03/14/89 | Jeng | | | |
| SS | 4,978,129 | 12/18/90 | Komeda et al. | | | |
| SS | 5,342,047 | 08/30/94 | Heidel et al. | | | |
| SS | 5,393,057 | 02/28/95 | Marnell, II | | | |
| SS | 5,580,309 | 12/03/96 | Piechowiak et al. | | | |
| SS | 5,700,009 | 12/23/97 | Meoni | | | |
| SS | 5,769,716 | 06/23/98 | Saffari et al. | | | |
| SS | 5,788,573 | 08/04/98 | Baerlocher et al. | | | |
| SS | 5,823,873 | 10/20/98 | Moody | | | |
| SS | 5,833,536 | 11/10/98 | Davids et al. | | | |
| SS | 5,947,820 | 09/07/99 | Morro et al. | | | |
| SS | 5,997,401 | 12/07/99 | Crawford | | | |
| SS | 6,004,207 | 12/21/99 | Wilson, Jr. et al. | | | |
| SS | 6,012,982 | 01/11/00 | Piechowiak et al. | | | |
| SS | 6,015,346 | 01/18/00 | Bennett | | | |
| SS | 6,089,976 | 07/18/00 | Schneider et al. | | | |
| SS | 6,102,798 | 08/15/00 | Bennett | | | |
| SS | 6,109,610 | 08/29/00 | Cherry et al. | | | |
| SS | 6,120,031 | 09/19/00 | Adams | | | |
| SS | 6,126,542 | 10/03/00 | Fier | | | |

| | | | |
|----|-----------------|----------|---------------------|
| SS | 6,142,873 | 11/07/00 | Weiss et al. |
| SS | 6,155,925 | 12/05/00 | Giobbi |
| SS | 6,159,095 | 12/12/00 | Frohm et al. |
| SS | 6,159,097 | 12/12/00 | Gura |
| SS | 6,159,098 | 12/12/00 | Slomiany et al. |
| SS | 6,162,121 | 12/19/00 | Morro et al. |
| SS | 6,168,520 B1 | 01/02/01 | Baerlocher et al. |
| SS | 6,168,523 B1 | 01/02/01 | Piechowiak et al. |
| SS | 6,173,955 B1 | 01/16/01 | Perrie et al. |
| SS | 6,174,234 | 01/16/01 | Seibert, Jr. et al. |
| SS | 6,174,235 B1 | 01/16/01 | Walker et al. |
| SS | 6,190,255 B1 | 02/20/01 | Thomas et al. |
| SS | 6,210,279 B1 | 04/03/01 | Dickinson |
| SS | 6,312,334 | 11/06/01 | Yoseloff |
| SS | 6,328,649 | 12/11/01 | Randall et al. |
| SS | 6,394,902 | 05/28/02 | Randall et al. |
| SS | 6,398,218 | 06/04/02 | Vancura |
| SS | 6,413,161 | 07/02/02 | Baerlocher et al. |
| SS | 6,439,955 | 08/27/02 | Hughs-Baird et al. |
| SS | 6,589,114 B2 | 07/08/03 | Rose |
| SS | 6,712,694 | 03/30/04 | Nordman |
| SS | 2003/0162579 | 08/28/03 | Gauselmann |
| SS | 2003/0027623 | 02/06/03 | Rose |
| SS | 2004/0053687 A1 | 03/18/04 | Nordman |
| SS | 2004/0053671 A1 | 03/18/04 | Nordman |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|--|-----------------|--------------------|---------|-------|------------|-------------|----|
| | | Document Number | Date | Country | Class | Subclasses | Translation | |
| | | | | | | | Yes | No |
| SS | | EP 0945837 A2 | September 29, 1999 | | | | | |
| SS | | EP 0984409A2 | March 8, 2000 | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|--|-----------------|---------------|---------|-------|----------|-------------|----|
| | | Document Number | Date | Country | Class | Subclass | Translation | |
| | | | | | | | Yes | No |
| SS | | WO 00/12186 | March 9, 2000 | | | | | |
| SS | | WO 00/9732285 | April 9, 1997 | | | | | |
| SS | | | | | | | | |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | |
|--|---|
| SS | By George Advertisement, written IGT, published 2002. |
| X | Fortune Cookie Brochure, written by IGT, published in 2000. |
| X | Jumble Advertisement, written by WMS Gaming, Inc., published prior to 2000. |
| X | Jumble Article, written by Strictly Slots, published in December, 2000. |
| X | Jumble Website Printout, written by WMS Gaming, Inc., printed March 21, 2001 |
| X | Loaded Dice Advertisement, written by Konami Gaming, published in 2000. |
| | Rainbow Riches 5 Liner Advertisement, written by BSCI Corporation, undated. |
| | Slot Machine Game With Roaming Wild Card, undated. |
| X | Sphinx Magic Advertisement, written by Atronic Casino Technology, Ltd., published 1997. |
| SS | Wheel of Fortune Brochure, written by IGT, published in 1998. |
| Examiner: | Dated Considered: |
| <i>Seni Jenk W.</i> | <i>3/28/07</i> |
| <p>*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | |